Surgery at Cranio-vertebral (CV) Junction: Our Experience of 32 Cases

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Summary
Cranio vertebral (CV) junction is one of the critical sites for surgery. It's anatomy, physiological aspects and pathological involvement varies in a wide range of margins. Common problems are developmental anomalies, traumatic involvement, inflammatory, infective and neoplastic lesion. Management of these problems varies a lot from each other. Aim of the article is to overview the pathologies in this area and to study presentations, investigations, surgical procedures and results of these pathologies. We prospectively analyzed 32 cases of Cranio-vertebral (CV) region surgery in the Department of Neurosurgery Dhaka Medical College Hospital and Mitford Hospital, Dhaka, from 2000 to 2008.

In our series, male and female ratio was 7.2:1. Pathologies were atlanto-axial dislocation (AAD), Chiari malformation type –I, schwannoma, meningioma, hydatid cyst and tuberculosis. Common clinical findings were- neck pain, quadriparesis, quadriplegia, hand atrophy, autonomic dysfunction and hypertension. Various types of surgical procedures were done in this series according to the pathology. Death was in 01 case, neurological deterioration seen in one case, 2 cases were neurologically stable and 28 cases (87.5%) improved neurologically where one was non useful improvement(Frankel grade-C).

Complete pre operative radiological study is a very important adjunct for a successful surgical result. Proper evaluation of patients with selection of appropriate surgical procedures along with safe surgical techniques are the necessary things for successful surgery in this area.

Introduction
Cranio vertebral (CV) junction is anatomically and functionally very complex zone. Anatomical variations of neurovascular and skeletal components in this site are quite common. Here, many types of developmental anomalies, traumatic fracture& dislocation, inflammatory, infective and neoplastic lesions are common lesions. Management of these problems varies a lot from each one another. Therefore without adequate background knowledge and surgical skill, surgery at this site is dangerous. Proper anatomical, physiological, pathological, radiological knowledge and surgical skill is preliminary things before surgical visit in this critical site. Aim of the article is to overview the surgical pathologies in this area along with their clinical presentations, investigations, surgical procedures & results as well as to share our experiences to our colleagues.

Methods:
We prospectively analyzed 32 cases of Cranio-vertebral (CV) region surgery in the Department of Neurosurgery Dhaka Medical College Hospital and Mitford Hospital, Dhaka, from January’2000 to July’2008. The complete history was taken regarding neck pain, weakness, sensory dysfunction and autonomic dysfunction. Then neurological findings of preoperative and postoperative last follow up were recorded for analysis. Neurological status was assessed by Frankel grading system. Improved was defined as improved neurological status at the last follow-up compared with the preoperative neurological status. Average duration of follow up is 11.4 months (range - 4.5 months to 96 months)
Observations and Results:
Total No of cases-32. Sex- male: 28(87.5%) and female: 04(12.5%). Average age-37.27 years, range-20 to 65 years.

Pathologies in this area were-
Atlanto-axial dislocation (AAD)- 19 cases(59.3%)
  *traumatic-05 cases (15.62%)
  *developmental-07 cases (21.87%)
  *spontaneous-06 cases (18.75%)
  *Psoriatic arthritis-01 case (3.12%)
Chiari malformation type –I: 04 cases (12.48%; Table-2, case no-1,2,3 & 4)
Schwannoma- 04 cases (12.5%; Table-2, case no-7,8,9 & 10)
Meningioma- 02 cases (6.25%; Table-2, case no-11 &12)
Hydatid cyst- 01 case (3.37%; Table-2, case no-13)
Tuberculosis- 02 cases (6.25%; Table-2, case no-5 & 6)
Clinical features were-
Neck pain- 24 cases (75%),
Quadriplegia-27 cases (84.3%)
Quadriplegia-04 cases (12.5%)
Hand atrophy-04 cases (12.5%)
Autonomic dysfunction-05 cases (15.62%)
Hypertension-01 case (3.12%)
Features of skeletal dysplasia-04 cases (12.5%)
Respiratory difficulty-02 cases (6.25%)

Investigations done in these patients-
Plain x-ray anterior-posterior & neutral lateral view were done in all cases (100%); Flexion & extension lateral view and open mouth views in 26 cases (81.25%). MRI done in all 32 cases (100%). CT scan done in 07 cases (21.87%). 3-D CT scan was done in 02 cases (6.25%).

Operative procedures done in these cases were-
Reduction and bilateral C1C2 transarticular screw fixation with fusion by bone grafting-09 cases (28.12%;Table-1, case no-1,2,3,4,12,13,16,17&19)
Reduction and unilateral C1C2 transarticular screw fixation with fusion by bone grafting-01 case (3.12%; Table-2, case no.-6).
Sublaminar wiring and occipitocervical fusion-02 cases (6.25%; Table-1,case no-10 &11).
Stabilization by U loop and wire followed by occipitocervical fusion-02 cases (6.25%; Table-1, case no-14&18)
Posterior fossa decompression with removal of C1 posterior arch and duraplasty-04 cases (12.5%; Table-2, case no-1,2,3 & 4)
Removal of tumour/cyst through posterior/posterior-lateral approach-07 cases (21.86%; Table-2, case no-7,8,9,10,11 & 13).
Posterior stabilization by loop/wiring/transarticular screw + occipito-cervical/atlanto-axial fusion and transoral decompression-03 cases(9.37%; Table-1, case no-1,5&15(Figure-1&2))
Peroperative reduction and C1C2 lateral mass screw & plate stabilization and fusion-03 cases (9.37%; Table-1, case no-7,8 & 9).
Decompression by removing posterior margin of foramen of magnum with preparation of C1 from occiput followed by U loop-wire stabilization and bony fusion between prepared C1 and C2 along with transoral decompression—one case(3.12%;Table-1, case no-6).
Transoral drainage of abscess-01 case (3.12%; Table-2, case no-5).

Complications -
Death-01 case (3.12%; Table-1, case no-10)
Transient CSF fistula-01 case (3.12%; Table-2, case no-9)
Palatal incompetence (Following transoral decompression, improved after 06 months)-01 case (3.12%; Table-1, case no-15)
Persistent neck pain-03 cases (9.37%; Table-1, case no-1, 5 &17)
Persistent spasticity-02 cases (6.25%; Table-1, case no-1, 5 &5)
Neurological deterioration-01 case (3.12%; Table-2, case no-9)
Break of one Transarticular C1C2 screw followed by neurological deterioration-01 case (3.12%; Table-1, case no-1; Figure-3 F). Here neurological stability was made by transoral decompression
Results of CV junction surgery-
Complete or near complete neurological recovery (Frankel grade-E +/- persistent hand atrophy)-14 cases (43.75%)
Improved but not normal neurostatus-
Frankel grade-C (not useful)-01 case (3.12%; Table-2, case no-4)
Frankel grade-D (useful)-13 cases (40.62%)
Stable (peroperative and postoperative Frankel grade-
E)-02 cases (6.5%; Table-1, case no-13 and Table-2, case no-5)
Deterioration-01 case (3.12%; Table-1, case no-9, preoperative Frankel grade-D, postoperative grade-C)
Death-01 case (3.12%; Table-1, case no-10).

In table -1 and table-2 clinical profile, surgical procedures and outcome of CV junction surgery cases are shown.

Table 1

<table>
<thead>
<tr>
<th>No &amp; sex</th>
<th>Age (yrs)</th>
<th>Diagnosis</th>
<th>Preop Frankel Grade</th>
<th>Final outcome &amp; Frankel Grade</th>
</tr>
</thead>
<tbody>
<tr>
<td>1.M</td>
<td>45</td>
<td>Traumatic AAD</td>
<td>(A)</td>
<td>Improved but can just walk with support; persistent spasticity &amp; pain (D-)</td>
</tr>
<tr>
<td>2.M</td>
<td>40</td>
<td>AAD</td>
<td>(D)</td>
<td>Full recovery (E)</td>
</tr>
<tr>
<td>3.M</td>
<td>38</td>
<td>AAD</td>
<td>(D)</td>
<td>Full recovery (E)</td>
</tr>
<tr>
<td>4.M</td>
<td>26</td>
<td>Tr.AAD &amp; Odontoid #</td>
<td>(C)</td>
<td>Improved (D)</td>
</tr>
<tr>
<td>5.M</td>
<td>65</td>
<td>BI with cervical C3-4 disc prolapse</td>
<td>(C)</td>
<td>Improved but persistant spasticity &amp; pain (D)</td>
</tr>
<tr>
<td>6.M</td>
<td>36</td>
<td>Fixed AAD &amp; BI with occipitalization of C1</td>
<td>(C)</td>
<td>Improved (D)</td>
</tr>
<tr>
<td>7.M</td>
<td>35</td>
<td>Fixed AAD</td>
<td>(C)</td>
<td>Improved (D)</td>
</tr>
<tr>
<td>8.M</td>
<td>43</td>
<td>Platybasia with BI with syrinx</td>
<td>(C)</td>
<td>Improved (Grade-D)</td>
</tr>
<tr>
<td>9.M</td>
<td>28</td>
<td>Sk. dysplasia with AAD</td>
<td>(D)</td>
<td>Immediate post operatively patient deteriorated then improved but not upto preoperative state. (C)</td>
</tr>
<tr>
<td>10.M</td>
<td>56</td>
<td>AAD</td>
<td>(A)</td>
<td>Respiration stabilized, but no neurological recovery, later expired.</td>
</tr>
<tr>
<td>11.M</td>
<td>45</td>
<td>AAD</td>
<td>(C)</td>
<td>Improved but restricted neck movements (D)</td>
</tr>
<tr>
<td>12.M</td>
<td>28</td>
<td>Tr. dens # with AAD</td>
<td>(C)</td>
<td>Complete recovery (E)</td>
</tr>
<tr>
<td>13.M</td>
<td>39</td>
<td>AAD</td>
<td>(E)</td>
<td>Neck pain gone (E)</td>
</tr>
<tr>
<td>14.M</td>
<td>21</td>
<td>Sk. dysplasia with AAD</td>
<td>(D)</td>
<td>Improved except incontinence of urine (E)</td>
</tr>
<tr>
<td>15.M</td>
<td>25</td>
<td>SkDysplasia with HTN with AAD Fixed</td>
<td>(D)</td>
<td>Improved completely but palatal/nasal regurgitation, improved later (E)</td>
</tr>
<tr>
<td>16.M</td>
<td>44</td>
<td>AAD</td>
<td>(D)</td>
<td>Improved (E)</td>
</tr>
<tr>
<td>17.M</td>
<td>34</td>
<td>AAD Tr.</td>
<td>(C)</td>
<td>Improved (D)</td>
</tr>
<tr>
<td>18.F</td>
<td>41</td>
<td>AAD with Psoriatic arthritis</td>
<td>(D)</td>
<td>Improved with persisted neck pain (E)</td>
</tr>
<tr>
<td>19..M</td>
<td>55</td>
<td>Tr.AAD</td>
<td>(C)</td>
<td>Improved (D)</td>
</tr>
</tbody>
</table>

[No-Number, yrs-years, C/F-clinical features, M-male, F-female, Tr-traumatic, Preop-Preoperative, AAD-atlantoaxial dislocation, BI-basilar invagination, Sk-skeletal, #-fracture, HTN-hypertension, Frankel grading-A,B,C,D&F].
Table-II

*Age, sex and pathological profile as well as pre and post surgical neurological status in other pathologies of CV junction (excluding AAD)*

<table>
<thead>
<tr>
<th>N&amp; sex</th>
<th>Age (yrs)</th>
<th>Preop Frankel grade</th>
<th>Final outcome &amp; Frankel grade</th>
</tr>
</thead>
<tbody>
<tr>
<td>1.M</td>
<td>42</td>
<td>Chiari -1 (C)</td>
<td>Improved except hand atrophy(D)</td>
</tr>
<tr>
<td>2.F</td>
<td>36</td>
<td>Chiari 1 with syrinx (D)</td>
<td>Improved(E)</td>
</tr>
<tr>
<td>3.M</td>
<td>20</td>
<td>Chiari-1 (C)</td>
<td>Improved except hand atrophy(D)</td>
</tr>
<tr>
<td>4.M</td>
<td>28</td>
<td>Chiari-1 (B+)</td>
<td>Improved (C)</td>
</tr>
<tr>
<td>5.M</td>
<td>25</td>
<td>AAJ TB with retropharyngeal abscess (E)</td>
<td>Improved(E)</td>
</tr>
<tr>
<td>6.M</td>
<td>38</td>
<td>Tubercular AAD (D)</td>
<td>Complete recovery(E)</td>
</tr>
<tr>
<td>7.M</td>
<td>35</td>
<td>FM and high cervical Schwannoma (C)</td>
<td>Complete recovery(E)</td>
</tr>
<tr>
<td>8.M</td>
<td>27</td>
<td>FM Schwannoma (C)</td>
<td>Improved(Postop. CSF fistula)(D)</td>
</tr>
<tr>
<td>9.M</td>
<td>39</td>
<td>C1C2 schwannoma (D)</td>
<td>Complete recovery(E)</td>
</tr>
<tr>
<td>10.F</td>
<td>44</td>
<td>FM and high cervical schwannoma (D)</td>
<td>Complete recovery(E)</td>
</tr>
<tr>
<td>1M</td>
<td>30</td>
<td>FM and high cervical meningioma (C)</td>
<td>Improved(D)</td>
</tr>
<tr>
<td>1M</td>
<td>50</td>
<td>C1C2 meningioma (D)</td>
<td>Complete recovery(E)</td>
</tr>
<tr>
<td>13.F</td>
<td>21</td>
<td>C1C2 hydatid cyst (B)</td>
<td>Recurrence of cyst that regressed with albandazole(E)</td>
</tr>
</tbody>
</table>

[No-Number, yrs-years, C/F-clinical features, M-male, F-female, Preop-Preoperative ,AAD-atlantoaxial dislocation,AAJ-atlantoaxial joint, FM-foramen magnum,TB-tuberculosis, Frankel grading-A,B,C,D&F].

**Fig.-1:** A-x-ray cervical spine flexion & extension view, B-MRI sagittal section TIW image showing craniovertebral anomaly with irreducible atlanto-axial dislocation(AAD)

**Fig.-2:** A-x-ray after posterior stabilization, B-postoperative view of closure of pharyngeal wound after transoral odontoidectomy, C-CT Scan of CV junction axial view showing absence of dens.
**Fig.-3:** A-X-ray controlled flexion lateral view, B-x-ray extension lateral view, C-MRI T1W sagittal image of cervical spine showing reducible AAD. D-peroperative picture of C1 C2 transarticular screw fixation. E-postoperative x-ray showing bilateral C1C2 transarticular screw. F-X-ray showing hardware failure in a different case (broken one screw).

**Fig.-4:** A-X-ray CV junction lateral view showing non reducible AAD, B-peroperative picture of C1C2 lateral mass plate and screw fixation, C-x-ray CV junction open mouth view showing lateral mass plate and screw, D-X-ray CV junction lateral view showing C1C2 lateral mass plate and screw in non reducible AAD with bone fusion.

**Fig.-5:** A-MRI sagittal section T1W image, B-MRI coronal section T1W image of CV junction showing schwannoma. C-Postoperative MRI of CV junction

**Fig.-6:** A-MRI of CV junction sagittal section T1W image, B-MRI of CV junction sagittal section T2W image showing multiple cystic lesion compressing cervico-medullary junction. C-Postoperative MRI sagittal section T1W image showing no residual cyst.

**Discussion:**
Various types of abnormalities can affect cranio-vertebral junction. Common pathologies include developmental anomalies i.e. atlanto-axial dislocation (AAD), basilar invagination (BI), Chiari malformation, syringomyelia etc; traumatic i.e. AAD, fracture of C1, C2, odontoid fracture etc; inflammatory-rheumatoid arthritis; infective-tuberculosis; neoplastic-schwannoma, meningioma, cordoma, bony tumour etc.1,2,3,4,5,6,7,8,9,10,11,12 In our study, we can find the similar picture. Here we found a very rare condition that is hydatid cyst (Table-2, case no-13). This was a
known case of hydatid cyst and she underwent surgery at CV junction in abroad 1 year back; after 1st operation she improved but later she developed quadriplegia. In our another case (Table-1, case no-19), we found AAD associated with psoriatic arthritis. Young people are commonly affected by CV junction pathologies. In this series common age is 25 to 45years. Common mode of presentation is history of trauma, neck pain, features of myelopathy i.e. quadriparesis or quadriplegia, hand muscle atrophy, autonomic dysfunction etc.\textsuperscript{1,2,3,4,5,8}.

Plain x-ray is very important to see bony alignment, AAD dislocation and its reducibility. CT scan gives much better information than plain x-ray but usually done when x-ray picture is not clear.\textsuperscript{2,3,4,5} We have done plain x-ray in all cases but CT scan in 04 cases only. MRI is essential to see neural pathology and other soft tissue pathology. We have done MRI in all cases. We also used per operative radio-imaging to see the reduction, alignment of atlanto-axial joint & bones and also to see the tract of screw.

We put three traumatic AAD patient under tong traction for a period of 7-15 days. Surgical procedures varies according to the pathology in CV junction.\textsuperscript{2,4,5,7}

When AAD is reducible assessed by preoperative flexion and extension lateral view x-ray and lateral masses of C1 and C2 are intact (not destroyed by pathological process), bilateral transarticular lateral mass screw fixation is done. Transarticular screw fixation is one of the important method in the treatment of cranio-cervical instability.\textsuperscript{13,14,15} It gives immediate stability to C-V junction and patient can be mobilized on immediate post operative period. Peroperative mal tracking of screw with vertebral artery injury or spinal cord damage which are potentially dangerous complications.\textsuperscript{13,14,15} Restriction of neck mobility is not so prominent here. To prevent long term hardware failure usually C1 C2 posterior bony fusion done along with the screw. Reduction and bilateral C1C2 transarticular screw fixation with fusion by bone grafting done in 09 cases in our series (Figure-3). Reduction & unilateral C1C2 transarticular screw fixation with fusion by bone grafting done in 01 case due to destruction of opposite lateral mass by tubercular lesion. In one of our cases one sided screw was broken six month after operation (Table-1, case no-1; Figure-3F) and there was neurological deterioration with severe neck pain, for which we have to go for transoral odontoidecotomy; patient improved after anterior decompression.

Posterior fossa decompression with removal of C1 posterior arch +/- C2 laminectomy and duraplasty is the usual treatment in type-I Chiari malformation.\textsuperscript{16} If syrinx is associated with the malformation some advocate syringosubarachnoid shunt. We did not perform any shunt in presence of syrinx.

When per operative reduction of AAD is not possible or transarticular screw fixation or lateral mass screw fixation is not possible then posterior stabilization by loop/wiring + occipito-cervical/atlanto-axial fusion with or without transoral decompression is needed.\textsuperscript{1,2,3,4,5,7,13} After posterior stabilization we did transoral decompression in 03 cases.

C1C2 lateral mass screw & plate stabilization and fusion is one of the important techniques described by Goel et al, is used for treating reducible AAD or reducible basilar invagination and other craniovertebral pathologies.\textsuperscript{17,18} We used this technique in three (9.09\%) cases of AAD. In one case we failed to reduce AAD (only partial reduction was possible peroperatively by traction and effort of joint distraction) where stabilization was done by C1C2 lateral mass plate and screw with bone fusion and advised for transoral decompression on separate occasion. Postoperatively patient improved neurologically and refused second operation (Figure-4).

In one case we found BI with occipitalization of C1 and morphological dysgenesis and hyperplasia of C2. In such a case options are- preparation of C1 from occiput +/- decompression by removing posterior margin of foramen of magnum followed by occipito-axial fusion/ C1-C2 lateral mass plate & screw fixation with or without transoral decompression.\textsuperscript{19,20} Here decompression by removing posterior margin of foramen of magnum with preparation of C1 from occiput followed by U loop-wire stabilization and bony fusion between prepared C1 and C2 was done. In this case we went for transoral odontoidecotomy on a separate setting. Tumors in this area is usually removed through posterior, posterolateral, lateral or far lateral approaches.\textsuperscript{8,9,10,11,12} We used posterior & posterior-lateral approach only (Figure-5).

In the case of hydatid cyst we removed the cysts through posterior approach and she recovered, completely after
8 months cyst recur with some neurological deterioration, we put her on anti helminthic; cyst disappeared and she recovered again (Figure-6). She got married 2 months back but she is still on albendazole.

In our series one patient expired from severe high cervical spinal injury with respiratory distress before operation. Another patient expired three months after operation from complication of quadriplegia (bed sore, urinary tract infection and respiratory tract infection) who had quadriplegia with respiratory distress preoperatively. Postoperatively respiration was stable but there was no neurological improvement.

One patient deteriorated immediate postoperatively who later improved but not up to preoperative state. This was due to iatrogenic damage. In one case of CV junction schwannoma we faced post operative CSF fistula that was managed by lumbar drain.

The ultimate result of CV junction surgery is in favour of surgeon when appropriately chosen surgical technique is applied by a safe surgeon.13 The rate of post surgical success varies according to pathologies along with severity & duration of neurodeficit. In our series the neurological improvement was 93.75% (in spite of improvement one patient was non ambulant), deterioration in 3.12% and death in 3.12%.

**Conclusion:**
Surgery at CV junction is a very challenging task even for an experienced skullbase surgeon. Proper anatomical, physiological, pathological, radiological and surgical knowledge (i.e. Proper evaluation of patients with proper selection of surgical procedures along with safe surgical techniques) is essential for managing these pathologies in this surgically complex site.

**References:**