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Application of plackett-burman design to benzylation of o-cresol with benzyl alcohol

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Abstract

Plackett-Burman design was employed to study the reaction of *o*-cresol with benzyl alcohol in the presence of anhydrous aluminium chloride as catalyst for screening variables. The variables chosen for the investigation were temperature, molar ratio of *o*-cresol to benzyl alcohol, amount of anhydrous AlCl₃ catalyst (% by wt. of *o*-cresol), addition time (ta h), stirring time (ts h), stirring speed (rpm). Among these variables temperature, molar ratio of *o*-cresol to benzyl alcohol and amount of anhydrous AlCl₃ were found to be important. A set of trials was planned according to a 3 factor 2-level Yates pattern experimental design with 2 replicates and the center point trial with 4 replicates. The critical response was the yield of benzyl *o*-cresol. Main effects as well as two- and three- factor interaction effects were statistically significant. A polynomial model was developed and the adequacy of the suggested model was checked up.

Keywords: Plackett-burman; o-cresol; Benzyl alcohol; Catalyst (Aluminium chloride); Variables; Polynomial model

Introduction

Friedel Craft's reaction as invented more than hundred years ago, still the reaction is in the attention of many investigators. This is because of the fact that the products of the reaction have found wide practical utilization in different fields. Among the alkylation processes, alkylation of cresols is very important. Alkylated cresols and their derivatives are outstanding antioxidants in fuels, lubricating oils and polymeric materials (Shreve and Brink, 1977; Lebedev, 1984; Ravikovich, 1964; Filbey et. al., 1985). Some of their derivatives are strong herbicides, bactericides and insecticides (Nemetkin et. al., 1951; Belov and Isagulyants, 1964; Melnikov et. al., 1954). Alkylation of isomeric cresols has been carried out by cycloalkenes (Topchiev et. al., 1964; Saha et. al., 1994, 1995, 1996, 1997, 1998, 2000; Saha and Ghosh, 1989) and cycloalcohols (Abdurasuleba and Israilova, 1962; Abdurasuleba et. al., 1965, 1969; Ismailov, 1970; Saha and Roy, 1988, 1989, 1990, 1992; Saha and Ghosh, 1990; Saha and Badruzzaman, 1990; Saha et. al., 1995, 1997, 2000, 2004) by several authors. Statistical studies have been made on the alkylation of cresols with alcohols and olefins by our research group (Palma et. al., 2007; M. Ismail et. al., 2007;

Alam *et. al.*, 2008; Alam *et. al.*, 2008). But studies on the statistical designs of benzylation of *o*-cresol with benzyl alcohol are absent.

Present work deals with the benzylation of *o*-cresol with benzyl alcohol in the presence of anhydrous aluminium chloride as catalyst by means of statistical experimental design. The aim of the present investigation is to screen variables by Plackett-Burman design and develop a mathematical model by using a 2^3 factorial design that describe the process of alkylation of *o*-cresol with benzyl alcohol in the presence of anhydrous aluminium chloride as catalyst (Clausen and Matson, 1978).

Materials and methods

The reactions were carried out in a three-necked round bottomed flask fitted with a condenser, a thermometer, a dropping funnel and a magnetic stirrer. *o*-Cresol and anhydrous aluminium chloride mixture was heated to the desired temperature. Benzyl alcohol was introduced into the mixture gradually over a certain period of time (time of addition) with constant stirring. After the complete addition of benzyl alcohol the reaction mixture was stirred for an extended period of time (time of stirring) at the same temperature. The reaction mass was then cooled to room temperature, dissolved in a solvent (toluene), then washed with distilled water several times and distilled at atmospheric pressure. Unreacted reactants and solvent were distilled off and the yield was expressed as a percentage of theory. The residual product was finally distilled and its structure was elucidated by physico-chemical and spectral means (UV, IR, ¹HNMR, ¹³CNMR).

Results and discussion

All experiments were planned according to experimental design (Clausen and Matson, 1978). The critical response of interest was yield of benzyl *o*-cresol.

Six potential variables were considered to have an influence on the yield and selected for screening experiments. These factors and the selected experimental levels are listed in Table I. Since there were six factors, a 12-trial Plackett-Burman design would be suitable. This design had a nominal capacity of 11 variables or factors. The five unassigned factors (X_7 through X_{11}) were used in the computation to get some measure of the experimental error. The experimental design and the calculations are illustrated in Table II. Each of the 12 trials of the design is listed in horizontal lines. The vertical columns labeled X_1 through X_{11} indicated the label of the factor in each trial. In regard to the design, in the 12 trials each factor was at a high + level for 6 trials and at a low (–) level for 6 trials. The yield for each trial was indicated in the Y column on the right.

Variable	+ Level	– Level
X ₁ ,Temperature, ⁰ C	140 [°] C	100 ⁰ C
X ₂ , Molar ratio of <i>o</i> -cresol to benzyl alcohol	5:1	3:1
X3, Amount of catalyst, % by wt. of <i>o</i> -cresol	5	3
X4, Addition time (t_a) , h	2	1
X5, Stirring time (t _s), h	2	1
X6, Stirring speed, rpm	400	200
X7-X11Unassigned factors used to calculate standard dev	iation.	

Table I. Candidate Variables

Y, Response: % Yield of benzyl o-cresol

Mean	V	$\mathbf{X}_{\mathbf{Z}}$						ATON AN T RATICITANTIA				I ICIU
							UFE X7	UFE X8	UFE Xq	UFE X10	UFE X11	Υ
+	+	+		+	+	+	-	0 -	•	+		69.2
+	+		+	+	+	ı	ı	ı	+	ı	+	70.1
+		+	+	+	·			+		+	+	67.9
+	+	+	+		ı	·	+		+	+		79.6
+	+	+			ı	+		+	+	,	+	72.1
+	+				+	·	+	+	•	+	+	57.3
+				+	ı	+	+		+	+	+	49.4
+			+		+	+		+	+	+	ı	61.7
+		+		+	+		+	+	+		·	62.1
+	+		+	+		+	+	+				70.8
+	•	+	+	ı	+	+	+				+	6.79
+							•			·		49.7
777.8	419.1	418.8	418	389.5	388.3	391.1	387.1	391.9	395	385.1	384.7	
0	358.7	359	359.8	388.3	389.5	386.7	390.7	385.9	382.8	392.7	393.1	
777.8	8.777.8	777.8	777.8	777.8	777.8	<i>777.</i> 8	8.777.8	777.8	777.8	777.8	777.8	
777.8	60.4	59.8	58.2	1.2	-1.2	4.4	-3.6	9	12.2	-7.6	-8.4	
64.82	10.06	9.96*	9.7*	0.2	-0.2	0.73	-0.6	1	2.03	-1.26	-1.4	

Table II. Screening Experiment

		Range	
Variable	Low (–)	Mid (0)	High (+)
X ₁ , Temperature (°C)	100	120	140
X2, Molar ratio of <i>o</i> -cresol to benzyl alcohol	3:1	4:1	5:1
X3, Amount of catalyst, % by wt. of o-cresol Y, Response : % Yield of	3 benzyl <i>o</i> -cresol	4	5

Table III. Process variables and Response

chloride) were found to be important and investigated further. Addition time of benzyl alcohol to the *o*-cresol -AlCl₃ mixture and stirring time after the addition of benzyl alcohol either had no effect or an effect so small that it was obscured by the experimental error and interaction effects. Stirring speed did not have any influence on the reaction rate. After determining which of the candidate variables were really significant, the next objective was to develop a mathematical model of the process using Yates pattern experimental design (Clausen and Matson, 1978). We considered three key process variables and one critical response- yield of benzyl *o*-cresol. Table III lists the experimental ranges of the

yielding 16 trials. In order to check the lack of fit due to curvature, additional trial was made at the midpoint level of each factor. The difference between the average centre point value and the overall average of the design points indicated the severity of curvature. Table IV illustrates the two level 3-factor design with the factors in coded form. The experimental runs for Trial 1 through 8 were run in duplicate; Trial 9, the centre point trial was run four times, interspersed throughout the experimental run. The results of these experiments are listed in Table V. The average yield , the range and the variance were calculated for each trial. The

			Design	
Trial No.	Replicates	Temperature, X1	Molar ratio, X2	Amount of catalyst, X 3
1	2	_	_	_
2	2	+	_	_
3	2	_	+	_
4	2	+	+	_
5	2	_	_	+
6	2	+	_	+
7	2	_	+	+
8	2	+	+	+
9	4	0	0	0

Table IV. Experimental Design

variables temperature, molar ratio of *o*-cresol to benzyl alcohol, amount of catalyst (anhydrous aluminium chloride). The values of t_a , t_s and stirring speed were set to the constant values of 2h, 1h and 300 rpm, respectively. The experimental design used was Yates pattern, 3 factor two level factorial; there were 2^3 i.e. eight trials. Since the basic 2^3 factorial design involved eight trials, each was run in duplicate

variance, which is an estimate of dispersion of data, was calculated by the following formula:

For Trial 1, variance =
$$S_1^2$$

= $\frac{(47.6 - 48.1)^2 + (48.6 - 48.1)^2}{2 - 1} = 0.5$

So,

For Trial 2, variance = S22 = 0.72For Trial 3, variance = S32 = 0.98For Trial 4, variance = S42 = 1.28For Trial 5, variance = S52 = 0.72For Trial 6, variance = S62 = 1.28For Trial 7, variance = S72 = 0.98For Trial 8, variance = S82 = 1.62

Variance =
$$S^2 = \frac{(Y_1 - \overline{Y})^2 + (Y_2 - \overline{Y})^2 + \dots + (Y_n - \overline{Y})^2}{n-1}$$

where Y = response value,
 \overline{Y} = average or mean of response value
and n = number of observations

For Trial 9, variance =
$$S_9^2$$

= $\frac{(63.5-64.3)^2 + (63.9-64.3)^2 + (64.7-64.3)^2 + (65.1-64.3)^2}{4-1}$
= 0.53

			Results		
Trial No.		Yield			
	Y 1	Y2	\overline{Y}	Range	Variance
1	47.6	48.6	48.1	1	0.50
2	58.1	59.3	58.7	1	0.72
3	62.5	63.9	63.2	1	0.98
4	70.1	71.7	70.9	2	1.28
5	59.6	60.8	60.2	1	0.72
6	70.8	72.4	71.6	2	1.28
7	68.0	69.4	68.7	1	0.98
8	77.8	79.6	78.7	2	1.62
	63.5	63.9			
9			64.3	2	0.53
	64.7	65.1			

Table V. Results of three-factor experiment

The variances calculated for each trial were then used in the calculation of a weighted average of the individual variances for each trial

Pooled variance =
$$S^{2}_{pooled}$$

= $\frac{(n_{1} - 1)(S_{1}^{2}) + (n_{2} - 1)(S_{2}^{2}) + \dots + (n_{K} - 1)(S_{K}^{2})}{(n_{1} - 1) + (n_{2} - 1) + \dots + (n_{K} - 1)}$
= 0.879

The pooled standard deviation is the square root of the pooled variance:

Standard deviation
$$_{\text{pooled}} = \sqrt{S^2_{\text{pooled}}} = \sqrt{0.879} = 0.937$$

The pooled standard deviation was used to calculate the minimum observed effect that was statistically significant. The computation analysis for this experiment is shown in Table VI. The design matrix was supplemented with a computation matrix, which was used to detect any interaction effect.

This computation matrix was generated by simple algebraic multiplication of the coded factor levels. In Trial 1, X_1 was minus, X_2 was minus, therefore, X_1X_2 was plus; in Trial 2, X_1

was plus, X_2 was minus, therefore X_1X_2 was minus. The column at the far right of the table is the average yield for each trial. The sum +'s row was generated by totaling the response values on each row with a plus for each column. For X_1 factor, 58.7 + 70.9 + 71.6 + 78.7 = 279.9. In the similar manner the sum -'s row was generated. The sum of these two rows should equal the sum of all the average responses and was included as a check on the calculations. The difference row represented the difference between the responses in the four trials when the factor was at a high level and the responses in the four trials when the factor was at a low level. The effect was then calculated by dividing the difference by the number of plus signs in the column. In the first column, labeled mean, the effect value was the mean or average of all data points. The average of the centre point runs, Trial 9, was then subtracted from the mean effect to give a measure of curvature.

The minimum significant factor effect [MIN] and the minimum significant curvature effect [MINC] were again derived from t-test significance criteria.

The relationships are:

[MIN] = t.s and

$$[MINC] = t.s$$

where t = appropriate value from "t- table",

s = pooled standard deviation,

m = number of plus signs in column,

k = number of replicates in each trial

and c = number of centre points.

The t value of 2.20 is from the Students' "t" table for the 95% confidence level and 11 degrees of freedom (Davies, 1979). The degrees of freedom resulted from eight trials with two replicates and one trial with four replicates.

			Design			Comp	utation		
Trial	Mean	x_1	X2	X3	X_1X_2	X1 X3	X ₂ X ₃	$X_1X_2X_3$	Response
1	+	_	_	_	+	+	+	_	48.1
2	+	+	_	_	_	_	+	+	58.7
3	+	_	+	_	_	+	_	+	63.2
4	+	+	+	_	+	_	_	_	70.9
5	+	_	_	+	+	_	_	+	60.2
6	+	+	_	+	_	+	_	_	71.6
7	+	_	+	+	_	_	+	_	68.7
8	+	+	+	+	+	+	+	+	78.7
Sum +'s	520.1	279.9	281.5	279.2	257.9	261.6	254.2	260.8	
Sum-'s	0.0	240.2	238.6	240.9	262.2	258.5	265.9	259.3	
Sum	520.1	520.1	520.1	520.1	520.1	520.1	520.1	520.1	
Difference	520.1	39.7	42.9	38.3	-4.3	3.1	-11.7	1.5	
Effect	65.01	9.925*	10.725*	9.575*	-1.075*	0.775	-2.925*	0.375	
			Cu	rvature = 6	5.01 - 64	.3 = 0.71			

Table VI. Computation matrix for three factor experiment

Degrees of freedom = 8(2-1) + 1(4-1) = 11

The calculations for the minimum significant effects were as follows:

[MIN] = 1.0307 and [MINC] = 1.152

Applying these criteria to the calculated effects, it was seen that the effects of temperature (X_1) , molar ratio of *o*-cresol to benzyl alcohol (X_2) , amount of aluminium chloride (X_2) , interaction between temperature and molar ratio of o-cresol to benzyl alcohol (X, X_2) , interaction between molar ratio of o-cresol to benzyl alcohol and amount of anhydrous aluminium chloride (X_2X_2) were significant. There was no significant curvature effect.

These results were expressed as a mathematical model using a first order polynomial. The values for the co-efficients were one half the factor effects listed in Table 6 since these were based upon coded levels +1 and -1 that differed by two units.

 $Y = 65.01 + 4.9625 X_1 + 5.362 X_2 + 4.787 X_3 - 0.537 X_1 X_2$ $-1.462 X_2 X_3$

In this equation, the factors were expressed in coded units. These were converted into real units by substituting:

for temperature T (⁰C),
$$X_1 = \frac{T - 120}{20}$$

for molar ratio (m:1), $X_2 = \frac{m - 4}{1}$
for the amount of catalyst (y), $X_3 = \frac{y - 4}{1}$

Table VII. Experimental average yield and calculated yield

These substitutions yielded the following final expression:

For Trial 1, temperature (T) = $100 \, {}^{\circ}$ C, molar ratio of *o*-cresol to benzyl alcohol (m:1) = 3:1 and the amount of catalyst (y) =3% by wt. of o-cresol. Therefore, yield calculated from the derived model.

$$Y_{\text{(cal.)}} = -41.614 + 0.355 \times 100 + 14.426 \times 3 + \\10.635 \times 3 - 0.0268 \times 100 \times 3 - 1.462 \times 3 \times 3 \\ = 47.87$$

Experimental average yield of the Trial 1, $Y_{(exp)} = 48.1$.

Hence, deviation = 0.23 and percentage deviation = 0.478.

Table VII gives a comparison of the experimentally determined yield of benzyl o-cresol (each value is the average of two replicates) with the predicted yield from the derived equation. The discrepancies between the experimental and calculated values did not exceed 0.965 %.

The summarized results of the reaction of o-cresol with benzyl alcohol in the presence of anhydrous aluminium chloride as catalyst have been shown in Table VIII and Table IX.

Trial	% yield of	benzyl o-cresol	Deviation	Percentage
	Experimental	Predicted		deviation
1	48.1	47.87	0.23	0.478
2	58.7	58.85	-0.15	-0.255
3	63.2	62.59	0.61	0.965
4	70.9	71.43	-0.53	-0.747
5	60.2	60.369	-0.169	-0.280
6	71.6	71.353	0.247	0.345
7	68.7	69.24	-0.54	-0.786
8	78.7	78.08	0.62	0.787

Exp.							
No.	Temperature, °C	Molar ratio of <i>o</i> -cresol to benzyl alcohol	Amount of catalyst, % by wt. of <i>o</i> -cresol	Addition time(t _a), h	Stirring time(t _S), h	Stirring speed, rpm	% yield of benzyl <i>o</i> - cresol
1	140	5:1	3	2	2	400	69.2
2	140	3:1	5	2	2	200	70.1
3	100	5:1	5	2	1	200	67.9
4	140	5:1	5	1	1	200	79.6
5	140	5:1	3	1	1	400	72.1
6	140	3:1	3	1	2	200	57.3
7	100	3:1	3	2	1	400	49.4
8	100	3:1	5	1	2	400	61.7
9	100	5:1	3	2	2	200	62.1
10	140	3:1	5	2	1	400	70.8
11	100	5:1	5	1	2	400	67.9
12	100	3:1	3	1	1	200	49.7

Table VIII. Alkylation of o-cresol with benzyl alcohol in presence of anhydrous aluminium chloride

Table IX. Alkylation of o-cresol with benzyl alcohol in presence of anhydrous aluminium chloride

_		R	eaction conditions			
Expt. No.	Temperature, °C	Molar ratio Of <i>o</i> -cresol to benzyl alcohol	Amount of aluminium chloride, % by wt. of <i>o</i> -cresol	Addition time (t _a), h	Stirring time (t _S), h	% yield of benzyl <i>o</i> - cresol
1	100	3:1	3	2	1	48.1
2	140	3:1	3	2	1	58.7
3	100	5:1	3	2	1	63.2
4	140	5:1	3	2	1	70.9
5	100	3:1	5	2	1	60.2
6	140	3:1	5	2	1	71.6
7	100	5:1	5	2	1	68.7
8	140	5:1	5	2	1	78.7

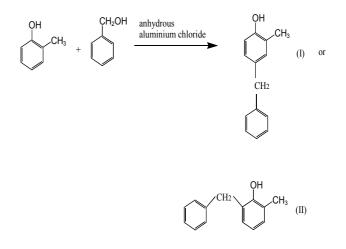
The yield of benzyl*o*-cresol increased with the increase of temperature (Expt. No. 1,2; 3,4; 5,6 and 7,8), molar ratio of *o*-cresol to benzyl alcohol (Expt. No. 1,3; 2,4; 5,7 and 6,8) and amount of catalyst (Expt. No. 1,5; 2,6; 3,7 and 4,8). Thus the best yield (78.7%) of benzyl*o*-cresol was obtained under following conditions: temperature = 140° C, molar ratio of *o*-cresol to benzyl alcohol = 5:1, amount of catalyst = 5% by wt. *o*-cresol, time of addition = 2h and time of stirring = 1h.

Spectral studies (UV, IR, ¹H NMR, ¹³C NMR spectrum) of benzyl *o*-cresol

Mixture of two isomers of benzyl *o*-cresol, (I) and (II) was obtained by the reaction of *o*-cresol with benzylalcohol according to the following equation:

Benzyl *o*-cresol in methanol solution absorbed strongly at $\lambda_{max} = 275.0$ nm in the UV-spectrum (Fig. 1).

Table X. The IR spectrum of benzyl o-cresol



Positions of the characteristic absorption of the product in the IR-spectrum (Fig. 2) are shown in Table X.

3532 cm ⁻¹	–OH group
3027 cm ⁻¹	Aromatic = C — H stretching
2920 cm ⁻¹	Saturated C —H stretching
1594 cm ⁻¹	Aromatic ring CC stretching
800 -900 cm ⁻¹	1, 2, 4 – tri substituted benzene ring
700 & 754 cm $^{-1}$	1, 2, 3 – tri substituted benzene ring

Table XI. The ¹H NMR spectrum of benzyl *o*-cresol

Observed signals of the protons	Chemical shift in δ ppm
Two benzene ring protons	6.5 - 7.3
Two protons of - CH ₂ - on benzyl group	3.8 - 4.0
One proton on the - OH group	4.7 – 4.9
Three protons of - CH 3 group	2.0 - 2.3

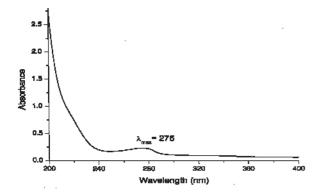


Fig. 1. The UV spectrum (in methanol) of benzyl *o*-cresol

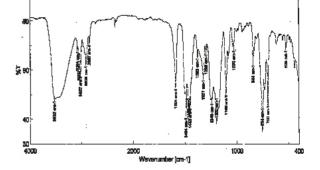


Fig. 2. The IR spectrum of benzyl o-cresol

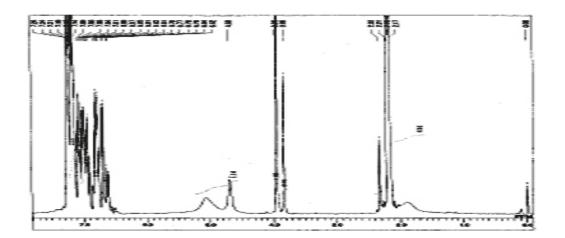


Fig. 3. The ¹H NMR spectrum of benzyl *o*-cresol in solvent..

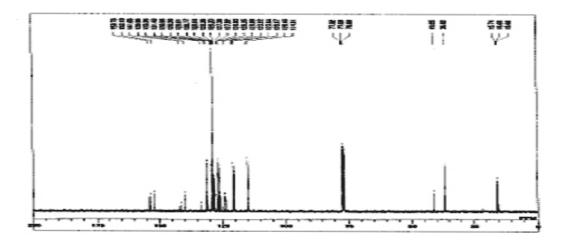


Fig. 4. The ¹³C NMR-spectrum of benzyl *o*-cresol in solvent..

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The ¹H NMR spectrum of the product showed peaks whose δ ppm values are represented in Fig. 3 and chemical shifts of the protons have been recorded in Table XI.

In the ¹³C NMR spectrum, peaks of all the aliphatic carbons were observed at $\delta = 15.60-41.05$, while peaks at $\delta = 114.91-153.78$ accounted for the aromatic carbons (Fig. 4).

The product was a mixture of two isomers and gave two sets of ¹H and ¹³C NMR peaks. By comparing the peaks intensity of certain protons (eg. CH_2), the ratio of the two isomers , 1: 11 or 11:1 was found to be 2.27.

Benzyl *o*-cresol had b.p. = 310 °C, $n_D^{20} = 1.5910$ and $d_4^{20} = 1.0943$ (Mr_D Found: 61.175; calc: 61.179).

Conclusions

By means of Placket-Burman design it was shown that temperature, molar ratio of *o*-cresol to benzyl alcohol and amount of catalyst were the significant variables of the reaction. A 2^3 Yates pattern design gave mathematical model to predict the yield. The highest experimental yield was found to be 78.7%. the experimental settings were temperature, 140°C; molar ratio of *o*-cresol to benzyl alcohol, 5:1; amount of AlCl₃, 5% by wt. of *o*-cresol; addition time, 2 h and stirring time, 1 h. The predicted yield was 78.08%. The difference between the experimental and estimated yields was negligible.

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