Neonatal Pneumonia in NICU of a Tertiary Care Center

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Abstract
Background: Pneumonia is one of the causes of neonatal infection and responsible for significant morbidity and mortality, especially in developing countries. The study was aimed to reveal frequency and outcome of pneumonia among hospitalized sick newborn of neonatal intensive care unit.

Methodology: This observational study was carried out in the NICU of Bangabandhu Sheikh Mujib Medical University (BSMMU) on 94 neonates with the diagnosis of pneumonia admitted from July 2012 to June 2014. All studied neonates were subjected to history taking, clinical examination, routine investigations, chest radiography and blood culture and sensitivity.

Results: Incidence of neonatal pneumonia was 43% among admitted neonates with respiratory distress. Mean birth weight and gestational age were 2392±854 and 33±3.9 weeks respectively. Of enrolled infants with pneumonia, 38 (40.4%) were early onset, 24 (25%) were hospital acquired pneumonia and community acquired pneumonia was documented in 14 (14.8 %) and the rest 18 (19%) were ventilator associated pneumonia. Blood culture was positive in 18 (19%) of cases with neonatal pneumonia; most common pathogen isolated was acinetobacter. Mean duration of hospital stay was 19±8 days. Most of the neonatal pneumonia were cured 72 (76%) with therapy, whereas 17 (18%) died during their hospital course.

Conclusion: Overall incidence among admitted sick neonates was 8.4% which constituted 34% of distressed neonate.

Keywords: Neonatal pneumonia, Ventilator associated pneumonia

Introduction

Pneumonia is an inflammatory pulmonary process that may originate in the lung or be a focal complication of a contiguous or systemic inflammatory process.1 It is one of the leading fatal childhood disease.2 As an important cause of neonatal infection pneumonia accounts for significant morbidity and mortality, with the highest case fatality rate in developing countries.2,3 Worldwide neonatal pneumonia is estimated to account for up to 10% of childhood mortality.2 About four million childhood death in developing countries happens due to this fatal disease.4

Neonatal pneumonia may be acquired by intrauterine (e.g. transplacental hematogenous, ascending from birth canal), or postnatal routes (e.g. hematogenous, environmental).4,5 The pathogens include mainly
bacteria, followed by viruses and fungi which induce an inflammatory pulmonary condition, causing epithelial injury to the airways. Early onset pneumonia is mostly caused by ascending infection from maternal genital tract across the membranes, and the baby is often septicemic at birth. Pneumonia of late onset is usually caused by nosocomial infection, especially in mechanically ventilated patients after 48 hours of mechanical ventilation.

Although pneumonia is an important cause of morbidity and mortality among newborn infants, its prompt identification and treatment remain difficult because radiographic changes may be due to atelectasis or noninfectious diseases such as bronchopulmonary dysplasia rather than infection, and infants rarely undergo invasive diagnostic procedures such as bronchoscopy. There is scarcity of published data regarding burden, clinical features, etiology and outcome of neonatal pneumonia in Bangladesh. The present study was aimed (i) to find the proportion of pneumonia in neonates admitted to a neonatal intensive care unit (ii) to evaluate common clinical signs of neonatal pneumonia other than respiratory distress; (iii) to determine bacterial etiology of neonatal pneumonia; and (iv) to determine outcome of neonatal pneumonia.

**Patients and Methods**

This prospective observational study was carried out over a period of two years from July 2012 to June 2014 in the neonatal intensive care unit of Bangabandhu Sheikh Mujib Medical University (BSMMU), Dhaka, Bangladesh. Ninety-four neonates with the diagnosis of pneumonia during their hospital stay were enrolled consecutively. Newborns with postnatal age more than 28 days, surgical problems related to the respiratory system and doubtful cases where diagnosis of pneumonia could not be established were excluded.

The baby was evaluated in between feeds and in quiet state. Respiratory rate was recorded for full 1 minute with the help of stop watch in another hand. Pneumonia was diagnosed in the presence of respiratory distress with: (a) Radiologic features suggestive of pneumonia (Presence of any of the following: nodular or coarse patchy infiltrates, diffuse haziness or granularity and lobar or sub-lobar consolidation) with or without Positive blood culture. Transient episodes of consolidation lasting less than 48 hours due to pulmonary edema were excluded from the diagnosis of neonatal pneumonia.

Respiratory distress is characterized by any of the following: (i) noisy or difficult breathing; (ii) respiratory rate >60/min; (iii) chest retraction; (iv) cyanosis and (v) grunting. All radiographs suggestive of pneumonia were reviewed by a radiologist who was blinded of clinical findings of enrolled newborns. Then pneumonia was categorized into early onset pneumonia, nosocomial pneumonia, community acquired pneumonia and ventilator associated pneumonia. Pneumonia that became clinically evident within 48 hours of birth was defined as early onset pneumonia. Nosocomial pneumonia was defined as sign symptoms of pneumonia appeared 48 hours after hospital admission. Ventilator associated pneumonia was defined as a nosocomial infection diagnosed in patients undergoing mechanical ventilator for at least 48 hours. Community Acquired pneumonia (CAP) was defined clinically as the presence of signs and symptoms of pneumonia in a previously healthy neonate due to an acute infection (of less than 14 days’ duration) which had been acquired in the community outside hospital.

After enrollment all neonates were subjected to clinical assessment by: 1. History taking, including: patient data, antenatal and perinatal history 2. Clinical examination 3. Laboratory investigations including complete blood count, C-reactive protein (CRP), blood culture, chest radiography on admission and repeated as required, and arterial blood gases. The babies were followed up until discharge from the hospital or death. Total duration of respiratory therapy and hospital stay were also documented. Data analysis was performed using SPSS version 20 (SPSS, Chicago, IL). The analysis of patient demographics and baseline outcome variables were summarized using descriptive summary measures: expressed as mean for numerical variables and percent for categorical variables.

**Results**

During the study period total number of admissions was 1108; respiratory distress was the presenting feature in 271 (24%) cases. Of the 271 cases of respiratory distress, pneumonia was the underlying...
cause in 94 babies; resulting in an incidence of neonatal pneumonia as 34% among the baby with respiratory distress. Overall incidence among admitted sick neonates was 8.4%, 94/1108.

Of enrolled infants with pneumonia, 38 (40.4%) were early onset pneumonia, rest 56 (55.61%) were late onset pneumonia. Among late onset pneumonia 32/56 (57%) were presented as hospital acquired pneumonia and community acquired pneumonia was documented in 24/56 (43%). Ventilator associated pneumonia were 18/94 (19%).

Among neonatal pneumonia case, 59 (62.7%) were male and 52 (55%) were out born. Mean birth weight and gestational age were 2392±854 grams and 33±3.9 weeks respectively shown in table 1. LUCS was the predominant mode of delivery in two-thirds of enrolled patients. Distribution of pneumonia was higher among outborn delivery (55% vs 45%). Among 38 early onset pneumonia, majority 30 (79%) had documented maternal risk factor for early onset sepsis (Table-I). Table-II shows potential risk factors for early onset pneumonia. Among them prolonged rupture of membrane was documented in majority of the enrolled newborn 25/30 (83%).

<table>
<thead>
<tr>
<th>Variable</th>
<th>Infants (n=94)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Sex</td>
<td></td>
</tr>
<tr>
<td>Male</td>
<td>59 (62.7%)</td>
</tr>
<tr>
<td>Female</td>
<td>35 (37.2%)</td>
</tr>
<tr>
<td>Place of Delivery</td>
<td></td>
</tr>
<tr>
<td>Outborn</td>
<td>52 (55.4%)</td>
</tr>
<tr>
<td>Inborn</td>
<td>42 (44.6%)</td>
</tr>
<tr>
<td>Mode Of Delivery</td>
<td></td>
</tr>
<tr>
<td>Vaginal</td>
<td>30 (32%)</td>
</tr>
<tr>
<td>LUCS</td>
<td>64 (68%)</td>
</tr>
<tr>
<td>Birth weight (Mean ± SD)</td>
<td>2392±854</td>
</tr>
<tr>
<td>Gestational Age (Mean ± SD)</td>
<td>33±3.9</td>
</tr>
<tr>
<td>Maternal risk factor for EOP (n 38)</td>
<td></td>
</tr>
<tr>
<td>Yes</td>
<td>30 (79%)</td>
</tr>
<tr>
<td>No</td>
<td>8 (21%)</td>
</tr>
</tbody>
</table>

Table-I
Demographic variables of enrolled infants

Table-II
Risk factor for early onset pneumonia among studied infant

<table>
<thead>
<tr>
<th>Variable</th>
<th>Infants (n=30)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Prolonged ruptured of membrane (&gt;18 hours)</td>
<td>25</td>
</tr>
<tr>
<td>Maternal fever (&gt;38°F)</td>
<td>6</td>
</tr>
<tr>
<td>Foul smelling liquor</td>
<td>2</td>
</tr>
<tr>
<td>Repeated per vaginal examination</td>
<td>2</td>
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Table-III
Bacterial pathogen isolation from enrolled newborn with pneumonia

<table>
<thead>
<tr>
<th>Name of the pathogen</th>
<th>Infants (n=18)</th>
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</thead>
<tbody>
<tr>
<td>Acinetobacter</td>
<td>9</td>
</tr>
<tr>
<td>E. Coli</td>
<td>4</td>
</tr>
<tr>
<td>Klebsiella spp.</td>
<td>3</td>
</tr>
<tr>
<td>Pseudomonas</td>
<td>1</td>
</tr>
<tr>
<td>Enterobacter</td>
<td>1</td>
</tr>
</tbody>
</table>

Sepsis screening (hematologic parameters and CRP) were suggestive in 49(52.1%) of enrolled cases. Blood culture was positive in 18 (19%) out of 94 cases of neonatal pneumonia; the pathogen isolates are shown in table III.
Most common radiologic change was localized/diffuse alveolar infiltrates in more than half 58% (55/94) of the newborn with pneumonia. Other findings were uni and or bilateral haziness and sublobar, lobar consolidation in 23% (22/94) and 18% (17/94) and respectively (Figure 2 and 3).

Mean duration of hospital stay was 13±8 and 19±8 days. Majority 76.5% (72/94) of the neonatal pneumonia were cured while 5% (5/94) left against medical advice and 18% (17/94) died during their hospital course (Figure 2).

Discussion

In this study, a total of 94 neonatal pneumonia cases were included over two year’s duration, mean birth weight and gestational age was 2392±854 grams and 33±3.9 weeks respectively. In this study, the mean birth weight of pneumonia cases was lower which was similar to the result obtained by Khattab et al. Also lower mean gestational age of infants with pneumonia were documented which was in agreement with other studies enrolled ventilator associated pneumonia cases only.

Overall incidence of pneumonia among admitted neonate was 8.4%. Of infants with respiratory distress, incidence of pneumonia was 34%. The variable incidences were demonstrated by the earlier studies ranging from 18.7% to 68.7%. A study from India showed higher incidence (68.7%) in comparison to other reports. While earlier studies exclusively enrolled inborn with respiratory distress, we aimed to figure out the overall incidence among both inborn and outborn. In this study, the distribution of pneumonia was slightly higher among outborn delivery (55% vs 45%).

The overall mortality rate in the present study was 18% which is lower than that reported from other studies. Wide range of mortality rate from 8% up to 48% was reported in a review of relevant studies done in developing countries. Eight percent death was reported in a study done in the emergency department of a referral centre of India.

In this study, near about half (40.4%) of the enrolled neonates were having early onset pneumonia. It is important to recognize, however, that risk factors are often absent in babies who develop pneumonia of early onset and prolonged rupture of membrane (>18hours) were documented in most of the cases (85%) of early onset pneumonia which was comparable with the study done by Webber S et al. We have not been able to show clearly the etiological agent in those babies who we thought had pneumonia but who had negative blood cultures. Our findings support the practice of many units that all neonates with respiratory distress should be given antibiotics.
intravenously for at least 48 to 72 hours until the results of blood cultures are available.

In the current study, bacterial etiology of pneumonia was established only in 18 (19%) out of 94 cases; the yield is low in comparison to other studies.\textsuperscript{17,18,20} The most common pathogen isolated was acinetobacter (9 cases) followed by E coli (5 cases) and Klebsiella (4 cases). Acinetobacter was very infrequent findings in earlier studies done in Indian subcontinent\textsuperscript{2}. Increasing incidence of Klebsiella isolation was observed in several studies done in developing countries on neonatal pneumonia.\textsuperscript{20-23}

**Conclusion**

Overall incidence of pneumonia among admitted sick neonates was 8.4% which constitutes 34% of distressed neonate. Acinetobacter was the common organism isolated from enrolled pneumonia cases. Majority of the neonatal pneumonia were cured while 18% died during their hospital course.

**Limitation**

There is no universally accepted definition of pneumonia in the neonatal period. Lack of a clear-cut definition patient enrollment difficult and conclusions may differ from one study to another. Another limitation is the small sample size of this study.

**Acknowledgement:**

We gratefully acknowledge the sincere cooperation and contribution of all doctors, nurses, staff of the department of Neonatology, Bangabandhu Sheikh Mujib Medical University (BSMMU). We would like to convey our gratitude to mothers and care givers of the study newborns for their consent to enroll their babies in this study.

**References**


